

04/30/01
 1c984 U.S. PTO

**UTILITY
 PATENT APPLICATION
 TRANSMITTAL**

Attorney Docket No. TCS-414 2P US-1
 First Inventor Thomas
 Title
 Express Mail Label No. EL164335092US

Only for new, nonprovisional applications under 37 CFR 1.53(b)

1c891 U.S. PTO
 09/845511
 04/30/01

APPLICATION ELEMENTS **ADDRESS TO:** Assistant Commissioner for Patents
 Exam Patent Application
 Washington, DC 20231

See MPEP chapter 600 concerning utility patent application contents

- 1 Fee Transmittal Form (e.g. PTO SB 17)
- 2 Applicant claims small entity status
 See 37 CFR 1.27
- 3 Specification [Total Pages 51]
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed. sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
- 4 Drawings (35 U.S.C. 113) [Total Sheets 10]
- 5 Oath or Declaration [Total Pages 2]
 - a Newly executed (original or copy)
 - b Copy from a prior application (37 CFR 1.63 (c))
 for continuation divisional with Box 18 completed
 - DELETION OF INVENTOR(S)**
Use this label to indicate deletion of inventor(s) in a continuation or divisional application. See 37 CFR 1.63 (c) and 1.64.
- 6 Application Data Sheet See 37 CFR 1.76

- 7 CD-ROM or CD-R (duplicate, large table or Computer Program Appendix)
- 8 Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a Computer Readable Form (CRF)
 - b Specification Sequel or Listing on
 - c CD-ROM or CD-R (2 copies) or
 - d paper
 - c Statements verifying identity of above copies

- ACCOMPANYING APPLICATION PARTS**
- 9 Assignment Page(s) cover sheet & document(s)
 37 CFR 3.77(c) Statement of Power of Attorney (when there is an assignee)
 - 10 English Translation Document (if applicable)
 - 11 Information Disclosure Statement (IDS) (PTO-1449) [Copies of IDS Citations]
 - 12 Preliminary Amendment
 - 13 Return Receipt Postcard (MPEP 503) (should be specifically itemized)
 - 14 Certified Copy of Priority Document(s) (Foreign priority is claimed)
 - 15 Nonpublication Request under 35 U.S.C. 122 (a) 2(b) (Applicant must attach form PTO SB 35 or its equivalent)
 - 16 Other
 - 17

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or an Application Data Sheet under 37 CFR 1.76

Continuation application of U.S. Pat. No. ()
 Continuation-in-part application of U.S. Pat. No. ()
 Divisional application of U.S. Pat. No. ()
 Divisional application of foreign patent No. ()
 Inventor: Dave Nguyen
 Attorney: (917) 481-4445

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Correspondence to inventor(s) Correspondence to attorney

Name: Leon R. Yankwich, Esq.
 Address: YANKWICH & ASSOCIATES
 130 Bishop Allen Drive
 City: Cambridge State: MA Zip Code: 02139
 Country: U.S.A. Telephone: (617) 481-4445 Fax: (617) 481-4811

Name (Print Type): Thomas R. Berka, Ph.D. Registration No. Attorney Agent: 39,606
 Signature: *Thomas R. Berka* Date: April 30, 2001

1. This form is to be filled out by the inventor or applicant. It is to be filed with the patent application. It is to be filled out in duplicate. The original is to be filed with the patent application. The duplicate is to be retained by the inventor or applicant. This form is to be filled out in duplicate. The original is to be filed with the patent application. The duplicate is to be retained by the inventor or applicant. This form is to be filled out in duplicate. The original is to be filed with the patent application. The duplicate is to be retained by the inventor or applicant.

FEE TRANSMITTAL for FY 2001

Complete if Known

Application Number	(not yet assigned)
Filing Date	(concurrently herewith)
First Named Inventor	Thomas
Examiner Name	(not yet assigned)
Group Art Unit	(not yet assigned)
Attorney Docket No.	TCS-414.2 P US-1

TOTAL AMOUNT OF PAYMENT (S) 435.00

METHOD OF PAYMENT

1. The Applicant has enclosed a check, cash, money order, or bank draft for payment of this fee. (Do not check this box if you are paying by credit card.)

Deposit Account Number: 50-0268
 Deposit Account Name: Yankwich & Associates

I have attached a bill of exchange, promissory note, or other negotiable instrument for payment of this fee.

Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
116	116	216	Surcharge - Late filing fee - small	
127	127	227	Surcharge - Late filing fee - small - second	
138	138	138	Not an invention fee	
147	147	147	Requesting a request for examination	
112	112	112	Requesting publication of RFR patent	
113	113	113	Requesting publication of S/R letter	
115	115	215	Extension for reply - within first month	
116	332	216	Extension for reply - within second month	
117	237	217	Extension for reply - within third month	
118	342	218	Extension for reply - within fourth month	
125	125	125	Extension for reply - within fifth month	
126	126	126	Extension for reply - within sixth month	
127	127	127	Extension for reply - within seventh month	
128	128	128	Extension for reply - within eighth month	
129	129	129	Extension for reply - within ninth month	
130	130	130	Extension for reply - within tenth month	
131	131	131	Extension for reply - within eleventh month	
132	132	132	Extension for reply - within twelfth month	
140	140	140	Requesting a request for examination	
141	141	141	Requesting publication of RFR patent	
142	142	142	Requesting publication of S/R letter	
143	143	143	Requesting publication of S/R letter	
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145	145	145	Requesting publication of S/R letter	
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198	198	198	Requesting publication of S/R letter	
199	199	199	Requesting publication of S/R letter	
200	200	200	Requesting publication of S/R letter	

SUBTOTAL (3) (S) 00.00

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
111	211	Basic filing fee - invention	355.00
112	212	Design fee	
113	213	Requesting fee	
114	214	Requesting fee	
115	215	Requesting fee	

SUBTOTAL (1) (S) 355.00

2. EXTRA CLAIM FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
121	221	Large entity excess fee	
122	222	Independent claims excess fee	
123	223	Multiple dependent claims excess fee	
124	224	Multiple dependent claims excess fee	
125	225	Multiple dependent claims excess fee	
126	226	Multiple dependent claims excess fee	
127	227	Multiple dependent claims excess fee	
128	228	Multiple dependent claims excess fee	
129	229	Multiple dependent claims excess fee	
130	230	Multiple dependent claims excess fee	

SUBTOTAL (2) (S) 80.00

SUBMITTED BY: Thomas R. Berka, Ph.D. Fee: 39.606 Date: April 30, 2001

Thomas R. Berka (617) 491-4343

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Thomas, Lawrence J.
Serial No.: (not yet assigned)
Filed: (concurrently herewith)
Entitled: PLASMID-BASED VACCINE FOR
TREATING ATHEROSCLEROSIS

ART UNIT:

EXAMINER:

Continuation of U.S. Appl. Ser. No. 09/171,969
Attorney Docket No.: TCS-414.2P US-1

Box Patent Application

Asst. Commissioner for Patents
Washington, D.C. 20231

UTILITY PATENT APPLICATION TRANSMITTAL


Continuation of U.S. Appl. Ser. No. 09/171,969

Prior Examiner: D. Nguyen

Prior Unit: 1633

1. Fee Transmittal form PTO SB 17 (in duplicate).
2. Utility Patent Application Transmittal form PTO SB 05.
3. Copy of prior application specification of 51 pages (including Abstract of the Disclosure).
4. Copies of Formal Drawings 10 pages.
5. Copy of oath declaration (pursuant 37 CFR §1.63(d)) and power of attorney filed in parent application.
6. Copy of Recorded Assignment.
7. Sequence Listing:
(a) computer readable form (CRF), (b) paper copy, and (c) statement under 37 CFR 1.821(f).
8. Preliminary Amendment.
9. Return receipt post card.
10. Check No. 3484 in the amount of \$ 435.00 to cover the application filing fee.

Respectfully submitted,

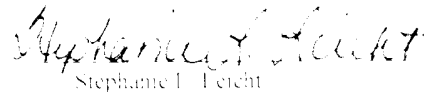


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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

The undersigned hereby certifies that this correspondence listed above is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10, postage prepaid, Express Mailing Label No. **EE 164335092 US**, in an envelope addressed to the Asst. Commissioner for Patents, Box Patent Application, Washington, D.C. 20231, on the date indicated below.

April 30, 2001
date



Stephanie L. Leicht